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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Liu, *et al.* Docket No.: TSM03-0649  
Serial No.: 10/775,290 Art Unit: 2818  
Filed: February 10, 2004 Examiner: Lee, Calvin  
For: Spacer for a Split Gate Flash Memory Cell and a Memory Cell Employing the Same

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

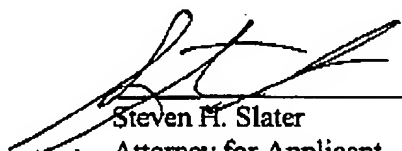
**REQUEST FOR CONFIRMATION OF INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

The patent application for the above-referenced patent application was allowed on November 30, 2006. An Information Disclosure Statement (copy attached) was filed by Applicant with the initial filing documents. However, Applicant only received partial confirmation of consideration of the Information Disclosure Statement by the Examiner. Applicant respectfully requests that the Office forward a fully completed confirmation of consideration of the PTO/SB/08A.

Respectfully submitted,

18 DECEMBER 2006  
Date

  
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Reg. No. 35,361

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PTO/SB/08A (05-03)

Approved for use through 05/31/2003. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Completion if Known</b>		
			Application Number	TBD	
			Filing Date	HEREWITH	
			First Named Inventor	Wu, et al.	
			Art Unit	TBD	
			Examiner Name	TBD	
Sheet	1	of	1	Attorney Docket Number	TSM03-0848

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)				
CL	A	US-6,153,904		11-28-2000	Yang	
	B	US-6,159,801		12-12-2000	Hsieh, et al.	
	C	US-6,228,695 B1		05-08-2001	Hsieh, et al.	
	D	US-6,232,185 B1		05-15-2001	Wang	
	E	US-6,479,859 B2		11-12-2002	Hsieh, et al.	
	F	US-6,525,371 B2		02-25-2003	Johnson, et al.	
	G	US-6,538,277 B2		03-29-2003	Sung, et al.	
	H	US-6,582,673 B2		05-13-2003	Lin	
	I	US-6,579,781 B1		06-17-2003	Hsieh	
	J	US-6,593,187 B1		07-15-2003	Hsieh	
	K	US-6,624,485 B1		08-25-2003	Chien, et al.	
	L	US-6,627,942 B2		08-30-2003	Wang	
	M	US-6,642,104 B2		11-04-2003	Cheng	
	N	US-6,645,889 B1		11-11-2003	Chu, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	<i>calvin</i>	Date Considered	9.15.05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### FACSIMILE COVER SHEET

To: Commissioner for Patents	Total Pages Sent: 3
Mail Stop Issue Fee	(including cover sheet)
Facsimile Number: (571) 273-2885	Transmission Date: December 18, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Liu, <i>et al.</i>	Docket No.:	TSM03-0649
Serial No:	10/775,290	Art Unit:	2818
Date Filed:	February 10, 2004	Examiner:	Calvin Lee
Title:	Spacer for a Split Gate Flash Memory Cell and a Memory Cell Employing the Same		

### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being transmitted by facsimile to the U.S. Patent and Trademark Office at (571) 273-2885 on the date shown above:

- Certification of Facsimile Transmission (1 page)
- Request for Confirmation of Information Disclosure Statement (1 page)
- Copy of PTO/SB/08A filed February 10, 2004 (1 page)

Respectfully submitted,

Kristin R. Hayes  
Legal Assistant

Confirmation Respectfully Requested

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